



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
07/439,093	11/17/89	BARCLAY	W 388A

LORANCE L. GREENLEE  
GREENLEE & ASSOCIATES  
5370 MANHATTAN CIRCLE, STE. 201  
BOULDER, CO 80303

12/05/89 000

DATE MAILED:

NOTICE TO FILE MISSING PARTS OF APPLICATION—  
FILING DATE GRANTED

A filing date has been granted to this application. However, the following parts are missing.

If all missing parts are filed within the period set below, the total amount owed by applicant as a  
☐ large entity, ☒ small entity (verified statement filed), is \$ 168.00

1. ☐ The statutory basic filing fee is: ☐ missing, ☐ insufficient. Applicant as a ☐ large entity,  
☐ small entity, must submit \$ \_\_\_\_\_ to complete the basic filing fee and MUST ALSO SUBMIT  
THE SURCHARGE AS INDICATED BELOW.

2. ☒ Additional claim fees of \$ 108.00 as a ☐ large entity ☒ small entity, including any required  
multiple dependent claim fee, are required. Applicant must submit the additional claim  
fees or cancel the additional claims for which fees are due. NO SURCHARGE IS REQUIRED  
FOR THIS ITEM.

3. ☐ The oath or declaration:  
☐ is missing.  
☐ does not cover items omitted at the time of execution.

An oath or declaration in compliance with 37 CFR 1.63, identifying the application by the  
above Serial Number and Filing Date is required. A SURCHARGE MUST ALSO BE  
SUBMITTED AS INDICATED BELOW.

4. ☐ The oath or declaration does not identify the application to which it applies. An oath or  
declaration in compliance with 37 CFR 1.63 identifying the application by the above  
Serial Number and Filing Date is required. A SURCHARGE MUST ALSO BE SUBMITTED  
AS INDICATED BELOW.

5. ☒ The signature to the oath or declaration is: ☒ missing; ☐ a reproduction; ☐ by a person  
other than the inventor or a person qualified under 37 CFR 1.42, 1.43, or 1.47. A properly  
signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by  
the above Serial Number and Filing Date is required. A SURCHARGE MUST ALSO BE  
SUBMITTED AS INDICATED BELOW.

6. ☐ The signature of the following joint inventor(s) is missing from the oath or declaration:  
\_\_\_\_\_. Applicant(s) should provide, if possible an oath or declaration  
signed by the omitted inventor(s), identifying this application by the above Serial Number  
and Filing Date. A SURCHARGE MUST ALSO BE SUBMITTED AS INDICATED  
BELOW.

7. ☐ The application was filed in a language other than English. Applicant must file a verified  
English translation of the application and a fee of \$26.00 under 37 CFR 1.17(k), unless this  
fee has already been paid NO SURCHARGE UNDER 37 CFR 1.16(e) IS REQUIRED FOR  
THIS ITEM.

8. ☐ A \$20.00 processing fee is required for returned checks. (37 CFR 1.21(m)).

9. ☐ Your filing receipt was mailed in error because check was returned.

10. ☐ Other:

A Serial Number and Filing Date have been assigned to this application. However, to avoid  
abandonment under 37 CFR 1.53(d), the missing parts and fees identified above in items 1 and  
3-6 must be timely provided ALONG WITH THE PAYMENT OF A SURCHARGE OF \$168.00 / 20.00  
for large entities or \$20.00 for small entities who have filed a verified statement claiming such  
status. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given ONE MONTH FROM  
THE DATE OF THIS LETTER, OR TWO MONTHS FROM THE FILING DATE of this  
application, WHICHEVER IS LATER, within which to file all missing parts and pay any fees.  
Extensions of time may be obtained by filing a petition accompanied by the extension fee under  
the provisions of 37 CFR 1.136(a).

Direct the response to, and any questions about, this notice to the undersigned, Attention:  
Application Branch.

**A copy of this notice MUST be returned with response.**

For: Manager, Application Branch  
(703) 557-3254

For Office Use Only

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